	· · · · · · · · · · · · · · · · · · ·	<u> </u>					
	Form PTO-1449 U.S. DEPARTI (Modified) PATENT AND			OF COMMERCE ATTY. DOCKET NO. MARK OFFICE 41PR-7349/96-11654		SERIAL NO.	
(1.1.00111.00)	Information Disclosure			477 (47 54 57 55 47 14 57 14 57 14		09/431,737	
	Statement by		APPLICANT				
	(Uso several sheet	s if necessary)		Don Whitchead et al.			
(37 cir 1.98	(37 c(r 1.98(b))			FILING DATE GROUP			
				November 1, 1999		2121	
		<u> </u>	J.S. PATENT D	OCUMENTS			
EXAMINE R INITIAL	PATENT NUMBER	ISSUE DATE		PA PENTEE	CL	ASS SUBCLA	FILING DATE SS IF APPROPRIATE
TP	4,491,793	01/01/85	Genner et al.		324	157	09/21/83
TP	4,598,248	4,598,248 07/01/86 Germer			324	103	0.4/12/84
TP	4,620,150	4,620,150 10/28/86 Germer et al.			324	103	04/12/84
TP	4,754,217	06/28/88	Germer et al.		324	116	03/05/87
TP	5,317,546	05/31/94	Halch et al.		368	9	06/30/93
TP	5,696,501	12/09/97	Ouellette et	al.	340	870.02	2 09/09/96
TP	5,764,155	06/09/98	Kertesz et al.		340	825.08	04/03/96
cated	-5,768,148	06/16/98	Murphy et al.		364	492	04/03/96
TP	- 5,861,742	01/19/99	Miller et al.	Miller et al.		156	11/30/95
TP	5,862,391	01/19/99	Salas et al.		395	750.01	04/03/96
TP	5,933,092	08/03/99	Ouellette et	al.	340	870.02	03/26/97
	FORE	GN PATENT OR	PUBLISHED F	FOREIGN PATENT APPL	ICATI	ON	
	DOCUMENT NUM	IRER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE		CLASS	SUOCLASS
	OTHER	DOCUMENTS (II	ncluding Author	. Title, Date, Pertinent Pa	ges, E	tc.**)	
				· · · · · · · · · · · · · · · · · · ·			
EXAMINER	EXAMINER Thyham DATE CONSIDERED 11/10/04						
*EXAMINER:	Initial if citation considered, when and not considered. Include c	rether or not chatton is	In conformance with	h MPEP 609; Draw line through ci	lation if	not	
	distribution in	-b' or any more with h	on consumingsion (o apprents		(Form PTO-1449 (6-4)

Best Available Copy

[&]quot;Use Publication date indicated on the patent or published application. 37 CFR 1.98(b).
"The place or published...." Notice of January 9, 1992, 1135 O.G. 13 to 25, at 20.

Page 2 of 3

Form PTO-1449 (Modified)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	Affy, DOCKET NO. 41PR-7349/96-1165A	SERIAL NO. 09/431,737	
(Modified)	Information Disclosure		09/431,737	
Statement by Applicant		APPLICANT		
(L (37 cfr 1.98(h))	lse several shoots if necossary)	Don Whitehead et al.		
(57 611 1.50(1))		FILING DATE	GROUP	
		November 1, 1999	2121	
	OTHER DOCUMENTS (Including Author	r, Tille, Date, Pertinent Pages, I	Etc.**)	
	COMPLAINT AND JURY DEMAND; CV-0 Ltd. vs. General Electric Company; 28 Pg	2-0058R: Power Measureme		
	ANSWER, AFFIRMATIVE DEFENSES, A JURY DEMAND; CV-02-0058R; Power Mi Electric Company; 17 Pgs.; March 1, 2002	AND COUNTERCLAIMS OF GENERAL ELECTRIC COMPA		
	STIPULATION; CV-02-0058R; Power Mea Electric Company; 2 Pgs.; March 28, 2002	easurement Ltd. vs. General		
	FIRST AMENDED COMPLAINT AND JURY DEMAND; 2:02-CV-771 (AWT); Power M and Power Measurement Ltd. vs. General Electric Company; 28 Pgs.; June 10, 2002.			
	GENERAL ELECTRIC'S ANSWER, AFFII PLAINTIFFS FIRST AMENDED COMPLA	ENERAL ELECTRIC'S ANSWER, AFFIRMATIVE DEFENSES AND COUNTERCLAIMS TO LAINTIFFS FIRST AMENDED COMPLAINT, 3:02-CV-771 (AWT); Power Measurement, Inc. anower Measurement Ltd. vs. General Electric Company; 18 Pgs.; July 3, 2002.		
·	STIPULATION FOR DISMISSAL WITH PREJUDICE; 3:02-CV-771 (AWT); Power Measurand Power Measurement Ltd. vs. General Electric Company; 5 Pgs.; August 12, 2002. JUDGEMENT ON CONSENT; 3:02-CV-771 (AWT); Power Measurement, Inc. and Power Ltd. vs. General Electric Company; 5 Pgs.; August 12, 2002.			
COMPLAINT; 3:02-CV-79 (AWT); General Electric Company vs. Power M Measurement Ltd.; 25 pgs.; January 14, 2002. ANSWER, AFFIRMATIVE DEFENSES AND COUNTERCLAIMS; 3:02-CV Company vs. Power Measurement, Inc. and Power Measurement Ltd.; 30		r Measurement, Inc. and Power		
		-CV-78 (AWT); General Electric 30 Pgs.; April 2, 2002.		
	GENERAL ELECTRIC'S ANSWER AND A COUNTERCLAIMS; 3:02-CV-78 (AWT); G Power Measurement Ltd.; 10 Pgs.; April 2	AFFIRMATIVE DEFENSES T Beneral Electric Company vs. 2, 2003.	O DEFENDANTS' Power Measurement, Inc. and	
	JUDGEMENT ON CONSENT; 3:02-CV-78 Inc. and Power Measurement Ltd.; 4 Pgs.;	B (AWT); General Electric Co ; August 7, 2002.	mpany vs. Power Mcasurement	
	STIPULATION FOR DISMISSAL WITH PI vs. Power Measurement, Inc. and Power N	REJUDICE; 3:02-CV-78 (AW Measurement Ltd.; 3 Pgs.; Au	T); General Electric Company	
	Typham	DATE CONSIDERED 11/10/0	74	
*EXAMINER: Initial II fitted in conformance and not	ation considered, whether or not citation is in conformance	e with MPEP 609; Draw fine through c	itation if not	

(Form PTO-1449 [G-4])

^{*} Use Publication date Indicated on the patent or published application. 37 CFR 1.98(b).

***The place or publication refers to the name of the journal, rasgazine or other publication in which the article was published....* Notice of January 9, 1992, 1135 O.G. 13 to 25, at 20.

Page 3 of 3

## APPLICANT AD TRADEMARK OFFICE APPLICANT AD TRADEMARK OFFICE APPLICANT							
Information Disclasure Statement by Applicant On Whitehead et al. FEMBENT November 1, 1999 OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) PEGASVS Preliminary User Interface, User's Guide", Version 1.0 BETA for Windows NT, Power Massurement Ltd., Pgs. 1-73, October 1, 1994. PEGASVS Preliminary Installation and Configuration Guide", Version 1.0 BETA for Windows NT, Power Measurement Ltd., Pgs. 1-37, October 1, 1994. PEGASVS Preliminary Installation and Configuration Guide", Version 1.0 BETA for Windows NT, Power Measurement Ltd., Pgs. 1-37, October 1, 1994. PEGASVS Vise Buide", Version 1.00 for Windows NT, Power Measurement Ltd., Pgs. 1-41, January 31, 1995. Power Measurement Module Liser's Guide", v. 1:00 for Microsoft Excel V5.0, Power Measurement Ltd., Pgs. 1-51, January 31, 1995. Giles, David W. and Robert Lee, "M-SCADA Installation and Operation Manual", Version 3.0, Power Measurement Ltd., August 15, 1991. Power Measurement 3720 ACM Data Shect', Power Measurement Ltd., Pgs. 1-11, May 31, 1994. "Power Measurement 3720 ACM Data Shect', Power Measurement Ltd., Pgs. 1-11, May 31, 1994. "Power Measurement 3720 ACM Data Shect', Power Measurement Ltd., September 21, 1998. "PeGASYS Vista User's Guide", Version 2.0, Power Measurement Ltd., September 21, 1998. "PEGASYS Vista User's Guide", Version 2.0, Power Measurement Ltd., September 21, 1998. "PEGASYS Vista User's Guide", Version 2.0, Power Measurement Ltd., September 21, 1998. "PEGASYS Vista User's Guide", Version 2.0, Power Measurement Ltd., September 21, 1998. "PEGASYS Vista User's Guide", Version 2.0, Power Measurement Ltd., September 21, 1998. "PEGASYS Vista User's Guide", Version 2.0, Power Measurement Ltd., September 21, 1998. "PEGASYS Vista User's Guide", Version 2.0, Power Measurement Ltd., September 21, 1998. "PEGASYS Vista User's Guide", Version 2.0, Power Measurement Ltd., September 21, 1998. "PEGASYS Vista User's Guide", Version 2.0, Power Measurement Ltd., September 21, 1998. "Modicion Ethernet to Modbu	DATINY AND TRADENACY OFFICE		· · · · · · · · · · · · · · · · · · ·				
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.") "PEGASYS Preliminary User Interface, User's Guide", Version 1.0 BETA for Windows NT, Power Measurement Ltd., Pgs. 1-73, Cotober 1, 1994. "PEGASYS Preliminary Installation and Configuration Gotofe." Version 1.0 BETA for Windows NT, Power Measurement Ltd., Pgs. 1-73, Cotober 1, 1994. "PEGASYS Preliminary Installation and Configuration Gotofe." Version 1.0 BETA for Windows NT, Govern Measurement Ltd., Msrch 31, 1995. "Pewer Measurement Ltd., Pgs. 1-73, Cotober 1, 1994. "Pewer Measurement Ltd., Pgs. 1-73, Cotober 1, 1994. "Power Measurement Ltd., Pgs. 1-74, January 31, 1995. Giles, David W. and Robert Lee, "M-SCADA Installation and Operation Manual", Version 3.0, Power Measurement Ltd., August 15, 1991. "Power Measurement 3720 ACM Data Sheet", Power Measurement Ltd., Pgs. 1-11, May 31, 1994. "Power Measurement 3720 ACM Installation and Operation Manual", Power Measurement Ltd., September 1, 1994. "PEGASYS Vista User's Guide", Version 2.0, Power Measurement Ltd., September 21, 1998. "PEGASYS Vista User's Guide", Version 2.0, Power Measurement Ltd., September 21, 1998. "Modicon Ethernet to Modbus Plus Gateway User's Guide", GM-EMBP-001 Rev. A, MODICON, Inc., December 1991. "EPES Preliminary Installation and Programming Manual", Niobrara Research and Development Corporation, June 3, 1994. "EPES Installation and Programming Manual", Niobrara Research and Development Corporation, June 12, 1995. "Measurement Port Expander", Niobrara Research and Development Corporation, June 12, 1995. "Measurement Port Expander", Niobrara Research and Development Corporation, June 12, 1995. "Measurement Port Expander", Niobrara Research and Development Corporation, June 12, 1995. "Measurement Port Expander", Niobrara Research and Development Corporation, June 12, 1995. "Measurement Port Expander", Niobrara Research and Development Corporation, June 12, 1995. "Measurement Port Expander", Niobrara Research and Development Corporation, June 12, 1995.	Information Disclosure			Information Disclosure		09/431,737	
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.") "PEGASYS Preliminary User Interface, User's Guide", Version 1.0 BETA for Windows NT, Power Measurement Ltd., Pgs. 1-73, October 1, 1994. "PEGASYS Preliminary Installation and Configuration Gride", Version 1.0 BETA for Windows NT, Power Measurement Ltd., Pgs. 1-731, October 1, 1994. "PEGASYS Preliminary Installation and Configuration Gride", Version 1.0 BETA for Windows NT, Power Measurement Ltd., March 31, 1995. "Pewer Measurement Ltd., Pgs. 1-731, October 1-1994. "Pewer Measurement Ltd., Pgs. 1-74, January 31, 1995. "Power Measurement Ltd., Pgs. 1-8, 1991. "Power Measurement Ltd., August 15, 1991. "Power Measurement Ltd., August 15, 1991. "Power Measurement 3720 ACM Data Sheet", Power Measurement Ltd., Pgs. 1-11, May 31, 1994. "Power Measurement 3720 ACM Installation and Operation Manual", Power Measurement Ltd., September 1, 1994. "PEGASYS Vista User's Guide", Version 2.0, Power Measurement Ltd., September 21, 1998. "PEGASYS VIsta User's Guide", Version 2.0, Power Measurement Ltd., September 21, 1998. "Modicion Ethernet to Modbus Plus Gateway User's Guide", GM-EMBP-001 Rev. A, MODICON, Inc., December 1991. "EPES Preliminary Installation and Programming Manual", Niobrara Research and Development Corporation, June 3, 1994. "EPES Ethernet Port Expander", Niobrara Research and Development Corporation, June 12, 1995. "MeB Installation and Programming Manual", Niobrara Research and Development Corporation, June 12, 1995. "MEB Installation and Programming Manual", Niobrara Research and Development Corporation, June 12, 1995. "MEB Installation and Programming Manual", Niobrara Research and Development Corporation, June 12, 1995. "MEB Installation and Programming Manual", Niobrara Research and Development Corporation, June 12, 1995. "MeB Installation and Programming Manual", Niobrara Research and Development Corporation, November 27, 1995.	·						
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.**) **PEGASYS Prellminary User Interface, User's Guide", Version 1.0 BETA for Windows NT, Power Measurement Ltd., Pgs. 1-73, October 1, 1994. **PEGASYS Prellminary Installation and Configuration Addition.** **PEGASYS Prellminary Installation and Configuration Addition.** **PEGASYS Prellminary Installation and Configuration Addition.** **PEGASYS User's Guide", Version 1.0 Tor Windows NT, Power Measurement Ltd., March 31, 1995. **PEGASYS User's Guide", Version 1.00 for Windows, Power Measurement Ltd., Pgs. 1-41, January 31, 1995. **PowerSys Add-In Module User's Guide", v. 1:00 for Microsoft Excel V5.0, Power Measurement Ltd., Pgs. 1-41, January 31, 1995. **Top Were Measurement Systam Sp. 1, 1991. **Power Measurement J3720 ACM Data Sheet", Power Measurement Ltd., Pgs. 1-11, May 31, 1994. **Power Measurement 3720 ACM Installation and Operation Manual", Power Measurement Ltd., September 1, 1994. **PEGASYS Vista User's Guide", Version 2.0, Power Measurement Ltd., September 21, 1998. **PEGASYS VIP User's Guide", Version 2.0, Power Measurement Ltd., September 21, 1998. **Modicon Ethernet to Modbus Plus Gateway User's Guide", GM-EMBP-001 Rev. A, MODICON, Inc., December 1991. **EPES Preliminary Installation and Programming Manual", Niobrara Research and Development Corporation, July 9, 1994. **EPES Installation and Programming Manual", Niobrara Research and Development Corporation, June 9, 1994. **EPES Ethernet Port Expander", Niobrara Research and Development Corporation, November 27, 1995. **MEB Installation and Programming Manual", Niobrara Research and Development Corporation, November 27, 1995. **DATE CONSIDERED** **Included States** **DATE CONSIDERED** **Included States** **Included States** **DATE CONSIDERED** **Included States** **Included States** **DATE CONSIDERED** **Included States** **Incl	(37 cfr 1	.98(b)) '~	se severui silocia (i riecessary)			
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.**) "PEGASYS Prellminary User Interface, User's Guide", Version 1.0 BETA for Windlews NT, Power Measurement Ltd., Pgs. 1-73, October 1, 1994. "PEGASYS Prellminary Installation and Configuration Artifide", Version 1.0 BETA for Windews NT, Power Measurement Ltd., Pgs. 1-31, October 1, 1994. "PEGASYS User's Guide", Version 1.1 for Windows NT, Power Measurement Ltd., March 31, 1995. "Pewer's User's Guide", Version 1.00 for Windows, Power Measurement Ltd., Pgs. 1-41, January 31, 1995. "Power Measurement Module User's Guide", v. 1:00 for Microsoft Excel V5.0, Power Measurement Ltd., Pgs. 1-41, January 31, 1995. "Power Measurement Ltd., August 15, 1991. "Power Measurement Ltd., August 15, 1991. "Power Measurement 3720 ACM Data Sheet", Power Measurement Ltd., Pgs. 1-11, May 31, 1994. "Power Measurement 3720 ACM Installation and Operation Manual", Power Measurement Ltd., September 1, 1994. "PEGASYS Vista User's Guide", Version 2.0, Power Measurement Ltd., September 21, 1998. "PEGASYS VIP User's Guide", Version 2.0, Power Measurement Ltd., September 21, 1998. "Modicon Ethernet to Modbus Plus Gateway User's Guide", GM-EMBP-001 Rev. A, MODICON, Inc., December 1991. "EPES Preliminary Installation and Programming Manual", Niobrara Research and Development Corporation, July 8, 1994. "EPES Installation and Programming Manual", Niobrara Research and Development Corporation, July 8, 1994. "EPES Installation and Programming Manual", Niobrara Research and Development Corporation, November 27, 1995. DATE CONSIDERED 1/10/64* "EXAMINER: Initiall' claifon considered, whether or not citation is in conformance with MPEP 503. Oray line through claifing It and Examiner." EXAMINER: Initiall' claifon considered, whether or not citation is in conformance with MPEP 503. Oray line through claifing It and Examiner. EXAMINER: Initiall' claifon considered, whether or not citation is in conformance with MPEP 503. Oray line through claifing It and It and It al			,		FILING DATE	CROUP	
### PEGASYS Preliminary User Interface, User's Guide", Version 1.0 BETA for Windows NT, Power Measurement Ltd., Pgs. 1-73, October 1, 1994. **PEGASYS Preliminary Installation and Configuration Ordia", Version 1.0 BETA for Windows NT, Power Measurement Ltd., Pgs. 1-31, October 1, 1994. **PEGASYS User's Guide", Version 1.00 for Windows NT, Power Measurement Ltd., March 31, 1495. **PowerSys User's Guide", Version 1.00 for Windows, Power Measurement Ltd., Pgs. 1-41, January 31, 1995. **Power Measurement Ltd., Pgs. 1-55, January 31, 1995. **Giles, David W. and Robert Lee, "M-SCADA Installation and Operation Manual", Version 3.0, Power Measurement Ltd., August 15, 1991. **Power Measurement 3720 ACM Data Sheet", Power Measurement Ltd., Pgs. 1-11, May 31, 1994. **Power Measurement 3720 ACM Data Sheet", Power Measurement Ltd., Pgs. 1-11, May 31, 1994. **Power Measurement 3720 ACM Installation and Operation Manual", Power Measurement Ltd., September 1, 1994. **PEGASYS Vista User's Guide", Version 2.0, Power Measurement Ltd., September 21, 1998. **PEGASYS Vista User's Guide", Version 2.0, Power Measurement Ltd., September 21, 1998. **PEGASYS Vip User's Guide", Version 2.0, Power Measurement Ltd., September 21, 1998. **PEGASYS Vip User's Guide", Version 2.0, Power Measurement Ltd., September 21, 1998. **PEGASYS Vip User's Guide", Version 2.0, Power Measurement Ltd., September 21, 1998. **PEGASYS Vip User's Guide", Version 2.0, Power Measurement Ltd., September 21, 1998. **PEGS Preliminary Installation and Programming Manual", Niobrara Research and Development Corporation, July 8, 1994. **EPES Installation and Programming Manual", Niobrara Research and Development Corporation, July 8, 1994. **EPES Installation and Programming Manual", Niobrara Research and Development Corporation, November 27, 1995. **MEB Installation and Programming Manual", Niobrara Research and Development Corporation, November 27, 1995. **DATE CONSIDERED** **DATE CONSIDERED** **Involved Testing November 21, 1995. **DATE CONSIDERED*					November 1, 1999	2121	
### PEGASYS Preliminary User Interface, User's Guide", Version 1.0 BETA for Windows NT, Power Measurement Ltd., Pgs. 1-73, October 1, 1994. "PEGASYS Preliminary Installation and Configuration Ordifa", Version 1.0 BETA for Windows NT, Power Measurement Ltd., Pgs. 1-31, October 1, 1994. "PewarSys User's Guide", Version 1.00 for Windows NT, Power Measurement Ltd., March 31, 1496. "PowerSys Add-In Module User's Guide", v. 1:00 for Microsoft Excel V5.0, Power Measurement Ltd., Pgs. 1-41, January 31, 1995. "Power Measurement J31995. Giles, David W. and Robert Lee, "M-SCADA Installation and Operation Manual", Version 3.0, Power Measurement Ltd., August 15, 1991. "Power Measurement 3720 ACM Data Sheet", Power Measurement Ltd., Pgs. 1-11, May 31, 1994. "Power Measurement 3720 ACM Installation and Operation Manual", Power Measurement Ltd., September 1, 1994. "PEGASYS Vire User's Guide", Version 2.0, Power Measurement Ltd., September 21, 1998. "PEGASYS Vire User's Guide", Version 2.0, Power Measurement Ltd., September 21, 1998. "PEGASYS Vire User's Guide", Version 2.0, Power Measurement Ltd., September 21, 1998. "PEGASYS Vire User's Guide", Version 2.0, Power Measurement Ltd., September 21, 1998. "PEGASYS Vire User's Guide", Version 2.0, Power Measurement Ltd., September 21, 1998. "EPES Preliminary Installation and Programming Manual", Niobrara Research and Development Corporation, July 8, 1994. "EPES Installation and Programming Manual", Niobrara Research and Development Corporation, July 8, 1994. "EPES Installation and Programming Manual", Niobrara Research and Development Corporation, November 27, 1995. "MEB Installation and Programming Manual", Niobrara Research and Development Corporation, November 27, 1995. "MEB Installation and Programming Manual", Niobrara Research and Development Corporation, November 27, 1995.							
Massurement Ltd., Pgs. 1-73, October 1, 1994. "PEGASYS Prellminary Installation and Configuration October 1, Version 1.0 BETA for Windows NT, October 1, 1994. "PEGASYS Prellminary Installation and Configuration October 1, 1994. "PEGASYS User's Guide", Version 1.00 for Windows, Power Measurement Ltd., Misrch 31, 1995. "Power Sys Add-In Module User's Guide", v. 1:00 for Microsoft Excel V5.0, Power Measurement Ltd., Dgs. 1-55, January 31, 1995. "Power Measurement Ltd., August 15, 1991. "Power Measurement Ltd., August 15, 1991. "Power Measurement 3720 ACM Data Sheet", Power Measurement Ltd., Pgs. 1-11, May 31, 1994. "Power Measurement 3720 ACM Installation and Operation Manual", Power Measurement Ltd., September 1, 1994. "PEGASYS Vista User's Guide", Version 2.0, Power Measurement Ltd., September 21, 1998. "PEGASYS VIP User's Guide", Version 2.0, Power Measurement Ltd., September 21, 1998. "Modicon Ethernet to Modbus Plus Gateway User's Guide", GM-EMBP-001 Rev. A, MODICON, Inc., December 1991. "EPES Installation and Programming Manual", Niobrara Research and Development Corporation, July 8, 1994. "EPES Ethornet Port Expander", Niobrara Research and Development Corporation, June 12, 1995. "MEB Installation and Programming Manual", Niobrara Research and Development Corporation, June 12, 1995. "MEB Installation and Programming Manual", Niobrara Research and Development Corporation, November 27, 1995. DATE CONSIDERED		↓		"PEGASYS Preliminary User Interface, Us	er's Guide", Version 1.0 BETA for Windows NT, Power		
**PEGASYS Vista User's Guide", Version 1.0 to Windows NT, Power Measurement Ltd., March 31, 1995. "PowerSys User's Guide", Version 1.00 for Windows, Power Measurement Ltd., Pgs. 1-41, January 31, 1995. "PowerSys Add-In Module User's Guide", v. 1:00 for Microsoft Excel V5.0, Power Measurement Ltd., Pgs. 1-55, January 31, 1995. "Power Measurement Ltd., August 15, 1991. "Power Measurement Ltd., August 15, 1991. "Power Measurement 3720 ACM Data Sheet", Power Measurement Ltd., Pgs. 1-11, May 31, 1994. "Power Measurement 3720 ACM Installation and Operation Manual", Power Measurement Ltd., September 1, 1994. "PEGASYS Vista User's Guide", Version 2.0, Power Measurement Ltd., September 21, 1998. "PEGASYS VIP User's Guide", Version 2.0, Power Measurement Ltd., September 21, 1998. "Modicon Ethernet to Modbus Plus Gateway User's Guide", GM-EMBP-001 Rev. A, MODICON, Inc., December 1991. "EPES Preliminary Installation and Programming Manual", Niobrara Research and Development Corporation, July 8, 1994. "EPES Installation and Programming Manual", Niobrara Research and Development Corporation, July 8, 1994. "EPES Installation and Programming Manual", Niobrara Research and Development Corporation, March 23, 1994. "EPES Installation and Programming Manual", Niobrara Research and Development Corporation, November 27, 1995. DATE CONSIDERED		 	ļ	Measurement Ltd., Pgs. 1-73, October 1, 1	1994.		
PEGASYS User's Quide", Version 1.10r Windows NT, Power Measurement Ltd., March 31, 4985. "PowerSys User's Guide", Version 1.00 for Windows, Power Measurement Ltd., Pgs. 1.41, January 31, 1995. "PowerSys Add-In Module User's Guide", v. 1:00 for Microsoft Excel V5.0, Power Measurement Ltd., Pgs. 1-55, January 31, 1995. Giles, David W. and Robert Lee, "M-SCADA Installation and Operation Manual", Version 3.0, Power Measurement Ltd., August 15, 1991. "Power Measurement 1.td., August 15, 1991. "Power Measurement 3720 ACM Data Shect", Power Measurement Ltd., Pgs. 1-11, May 31, 1994. "Power Measurement 3720 ACM Installation and Operation Manual", Power Measurement Ltd., September 21, 1998. "PEGASYS VIP User's Guide", Version 2.0, Power Measurement Ltd., September 21, 1998. "Modicon Ethernet to Modbus Plus Gateway User's Guide", GM-EMBP-001 Rev. A, MODICON, Inc., December 1991. "EPES Preliminary Installation and Programming Manual", Niobrara Research and Development Corporation, June 9, 1994. "EPES Installation and Programming Manual", Niobrara Research and Development Corporation, July 8, 1994. "EPES Installation and Programming Manual", Niobrara Research and Development Corporation, June 12, 1995. "MEB Installation and Programming Manual", Niobrara Research and Development Corporation, November 27, 1995. DATE CONSIDERED 11/10/04 "EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPPP 609. Oraw line through citation is in conformance with MPPP 609. Oraw line through citation is in conformance with MPPP 609. Oraw line through citation is in conformance with MPPP 609. Oraw line through citation is in conformance with MPPP 609. Oraw line through citation is in conformance with MPPP 609. Oraw line through citation is in conformance with MPPP 609. Oraw line through citation is in conformance with MPPP 609. Oraw line through citation is in conformance with MPPP 609. Oraw line through citation is in conformance with MPPP 609. Oraw line through citation is in conformance wi	<u> </u>	++	"PEGASYS Preliminary Installation and Configuration Guide", Version 1.0 BETA			1.0 BETA for Windows NT,	
#PowerSys User's Guide", Version 1,00 for Windows, Power Measurement Ltd., Pgs. 1-41, January 31, 1995. "PowerSys Add-In Module User's Guide", v. 1:00 for Microsoft Excel V5.0, Power Measurement Ltd., Pgs. 1-55, January 31, 1995. Giles, David W. and Robert Lee, "M-SCADA Installation and Operation Manual", Version 3.0, Power Measurement Ltd., August 15, 1991. "Power Measurement 3720 ACM Data Sheet", Power Measurement Ltd., Pgs. 1-11, May 31, 1994. "Power Measurement 3720 ACM Installation and Operation Manual", Power Measurement Ltd., September 1, 1994. "PEGASYS Vista User's Guide", Version 2.0, Power Measurement Ltd., September 21, 1998. "PEGASYS VIP User's Guide", Version 2.0, Power Measurement Ltd., September 21, 1998. "Modicon Ethernet to Modbus Plus Gateway User's Guide", GM-EMBP-001 Rev. A, MODICON, Inc., December 1991. "EPES Preliminary Installation and Programming Manual", Niobrara Research and Development Corporation, June 9, 1994. "EPES Installation and Programming Manual", Niobrara Research and Development Corporation, June 12, 1995. TP "MEB Installation and Programming Manual", Niobrara Research and Development Corporation, November 27, 1995. DATE CONSIDERED // /o/off "EXAMINER: Initial if cliation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation is not programmed with MPEP 609. Draw line through citation is not programmed with MPEP 609. Draw line through citation is not programmed with MPEP 609. Draw line through citation is not programmed with MPEP 609. Draw line through citation is not programmed with MPEP 609. Draw line through citation is not programmed with MPEP 609. Draw line through citation is not programmed with MPEP 609. Draw line through citation is not programmed with MPEP 609. Draw line through citation is not programmed with MPEP 609. Draw line through citation is not programmed.		ant	ca			1005	
"PowerSex Add-In Module User's Guide", v. 1:00 for Microsoft Excel V5.0, Power Measurement Ltd., Park. 1-55, January 31, 1995. Giles, David W. and Robert Lee, "M-SCADA Installation and Operation Manual", Version 3.0, Power Measurement Ltd., August 15, 1991. "Power Measuroment 3720 ACM Data Shect", Power Measurement Ltd., Pgs. 1-11, May 31, 1994. "Power Measuroment 3720 ACM Installation and Operation Manual", Power Measurement Ltd., September 1, 1994. "PEGASYS Vista User's Guide", Version 2.0, Power Measurement Ltd., September 21, 1998. "PEGASYS VIP User's Guide", Version 2.0, Power Measurement Ltd., September 21, 1998. "Modicon Ethernet to Modbus Plus Gateway User's Guide", GM-EMBP-001 Rev. A, MODICON, Inc., December 1991. "EPES Preliminary Installation and Programming Manual", Niobrara Research and Development Corporation, June 9, 1994. "EPES Installation and Programming Manual", Niobrara Research and Development Corporation, Juny 8, 1994. "EPES Ethernet Port Expander", Niobrara Research and Development Corporation, June 12, 1995. "MEB Installation and Programming Manual", Niobrara Research and Development Corporation, November 27, 1995. DATE CONSIDERED	1/11	7		"Daylor Cycling Coldan Marsian 4 00 for	WINdows IV I, Fower Ivieasu	rement Lto., March 31, 1995.	
Giles, David W. and Robert Lee, "M-SCADA Installation and Operation Manual", Version 3.0, Power Measurement Ltd., August 15, 1991. "Power Measurement 3720 ACM Data Shect", Power Measurement Ltd., Pgs. 1-11, May 31, 1994. "Power Measurement 3720 ACM Installation and Operation Manual", Power Measurement Ltd., September 1, 1994. "PEGASYS Vista User's Guide", Version 2.0, Power Measurement Ltd., September 21, 1998. "PEGASYS VIP User's Guide", Version 2.0, Power Measurement Ltd., September 21, 1998. "Modicion Ethernet to Modbus Plus Gateway User's Guide", GM-EMBP-001 Rev. A, MODICON, Inc., December 1991. "EPE5 Preliminary Installation and Programming Manual", Niobrara Research and Development Corporation, June 9, 1994. "EPE5 Installation and Programming Manual", Niobrara Research and Development Corporation, July 8, 1994. "EPE5 Installation and Programming Manual", Niobrara Research and Development Corporation, June 12, 1995. "MEB Installation and Programming Manual", Niobrara Research and Development Corporation, November 27, 1995. DATE CONSIDERED 11/10/04 "EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 60s Daw line through citation in the standard and programming is in conformance with MPEP 60s Daw line through citation in the standard and programming is in conformance with MPEP 60s Daw line through citation in the standard and programming is in conformance with MPEP 60s Daw line through citation in the standard and programming is in conformance with MPEP 60s Daw line through citation in the standard and programming is in conformance with MPEP 60s Daw line through citation in the standard and programming is in conformance with MPEP 60s Daw line through citation in the standard and programming is conformance with MPEP 60s Daw line through citation in the standard and programming is conformance with MPEP 60s Daw line through citation in the standard and programming is conformance with MPEP 60s Daw line through citation and programming is conformance with MPEP	d-	士		31, 1995.			
Giles, David W. and Robert Lee, "M-SCADA Installation and Operation Manual", Version 3.0, Power Measurement Ltd., August 15, 1991. "Power Measurement 3720 ACM Data Shect", Power Measurement Ltd., Pgs. 1-11, May 31, 1994. "Power Measurement 3720 ACM Installation and Operation Manual", Power Measurement Ltd., September 1, 1994. "PEGASYS Vista User's Guide", Version 2.0, Power Measurement Ltd., September 21, 1998. "PEGASYS VIP User's Guide", Version 2.0, Power Measurement Ltd., September 21, 1998. "Modicion Ethernet to Modbus Plus Gateway User's Guide", GM-EMBP-001 Rev. A, MODICON, Inc., December 1991. "EPE5 Preliminary Installation and Programming Manual", Niobrara Research and Development Corporation, June 9, 1994. "EPE5 Installation and Programming Manual", Niobrara Research and Development Corporation, July 8, 1994. "EPE5 Installation and Programming Manual", Niobrara Research and Development Corporation, June 12, 1995. "MEB Installation and Programming Manual", Niobrara Research and Development Corporation, November 27, 1995. DATE CONSIDERED 11/10/04 "EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 60s Daw line through citation in the standard and programming is in conformance with MPEP 60s Daw line through citation in the standard and programming is in conformance with MPEP 60s Daw line through citation in the standard and programming is in conformance with MPEP 60s Daw line through citation in the standard and programming is in conformance with MPEP 60s Daw line through citation in the standard and programming is in conformance with MPEP 60s Daw line through citation in the standard and programming is in conformance with MPEP 60s Daw line through citation in the standard and programming is conformance with MPEP 60s Daw line through citation in the standard and programming is conformance with MPEP 60s Daw line through citation in the standard and programming is conformance with MPEP 60s Daw line through citation and programming is conformance with MPEP		-		"PowerSys Add-In Module User's Guide", v	v. 1:00 for Microsoft Excel VS	5.0. Power Measurement Ltd.,	
Measurement Ltd., August 15, 1991. "Power Measurement 3720 ACM Data Shect", Power Measurement Ltd., Pgs. 1-11, May 31, 1994. "Power Measurement 3720 ACM Installation and Operation Manual", Power Measurement Ltd., September 1, 1994. "PEGASYS Vista Uscr's Guide", Version 2.0, Power Measurement Ltd., September 21, 1998. "PEGASYS VIP User's Guide", Version 2.0, Power Measurement Ltd., September 21, 1998. "Modicon Ethernet to Modbus Plus Gateway User's Guide", GM-EMBP-001 Rev. A, MODICON, Inc., December 1991. "EPE5 Preliminary Installation and Programming Manual", Niobrara Research and Development Corporation, June 9, 1994. "EPE5 Installation and Programming Manual", Niobrara Research and Development Corporation, March 23, 1994. "EPE5 Installation and Programming Manual", Niobrara Research and Development Corporation, June 12, 1995. "MEB Installation and Programming Manual", Niobrara Research and Development Corporation, November 27, 1995. DATE CONSIDERED //o/ct/		—	<u> </u>	Pgs. 1-55, January 31, 1995.			
"Power Measurement 3720 ACM Installation and Operation Manual", Power Measurement Ltd., September 1, 1994. "PEGASYS Vista User's Guide", Version 2.0, Power Measurement Ltd., September 21, 1998. "PEGASYS VIP User's Guide", Version 2.0, Power Measurement Ltd., September 21, 1998. "Modicon Ethernet to Modbus Plus Gateway User's Guide", GM-EMBP-001 Rev. A, MODICON, Inc., December 1991. "EPE5 Preliminary Installation and Programming Manual", Niobrara Research and Development Corporation, June 9, 1994. "EPE5 Installation and Programming Manual", Niobrara Research and Development Corporation, July 8, 1994. "EPE5 Installation and Programming Manual", Niobrara Research and Development Corporation, June 12, 1995. TP "MEB Installation and Programming Manual", Niobrara Research and Development Corporation, November 27, 1995. DATE CONSIDERED ///o/o4 "EXAMINER: Initial if cliation considered, whether or not citation is in conformance with MPEP 609: Draw line through citation if part.	70			Giles, David W. and Robert Lee, "M-SCADA Installation and Operation Manual", Version 3.0, Power Measurement Ltd., August 15, 1991.			
"Power Measurement 3720 ACM Installation and Operation Manual", Power Measurement Ltd., September 1, 1994. "PEGASYS Vista User's Guide", Version 2.0, Power Measurement Ltd., September 21, 1998. "PEGASYS VIP User's Guide", Version 2.0, Power Measurement Ltd., September 21, 1998. "Modicon Ethernet to Modbus Plus Gateway User's Guide", GM-EMBP-001 Rev. A, MODICON, Inc., December 1991. "EPE5 Preliminary Installation and Programming Manual", Niobrara Research and Development Corporation, June 9, 1994. "EPE5 Installation and Programming Manual", Niobrara Research and Development Corporation, July 8, 1994. "EPE5 Installation and Programming Manual", Niobrara Research and Development Corporation, June 12, 1995. TP "MEB Installation and Programming Manual", Niobrara Research and Development Corporation, November 27, 1995. DATE CONSIDERED ///o/o4 "EXAMINER: Initial if cliation considered, whether or not citation is in conformance with MPEP 609: Draw line through citation if part.	<u> </u>			"Power Measurement 3720 ACM Data She	ect", Power Measurement Ltd	d., Pas. 1-11, May 31, 1994.	
"PEGASYS Vista Uscr's Guide", Version 2.0, Power Measurement Ltd., September 21, 1998. "PEGASYS VIP User's Guide", Version 2.0, Power Measurement Ltd., September 21, 1998. "Modicion Ethernet to Modbus Plus Gateway User's Guide", GM-EMBP-001 Rev. A, MODICON, Inc., December 1991. "EPE5 Preliminary Installation and Programming Manual", Niobrara Research and Development Corporation, June 9, 1994. "EPE5 Installation and Programming Manual", Niobrara Research and Development Corporation, July 8, 1994. "EPE5 Installation and Programming Manual", Niobrara Research and Development Corporation, June 12, 1995. "MEB Installation and Programming Manual", Niobrara Research and Development Corporation, November 27, 1995. DATE CONSIDERED				"Power Measurement 3720 ACM Installation and Operation Manual", Power Measurement Ltd.,			
"PEGASYS VIP User's Guide", Version 2.0, Power Measurement Ltd., September 21, 1998. "Modicon Ethernet to Modbus Plus Gateway User's Guide", GM-EMBP-001 Rev. A, MODICON, Inc., December 1991. "EPES Preliminary Installation and Programming Manual", Niobrara Research and Development Corporation, June 9, 1994. "EPES Installation and Programming Manual", Niobrara Research and Development Corporation, July 8, 1994. "EPES Ethernet Port Expander", Niobrara Research and Development Corporation, March 23, 1994. "EPES Installation and Programming Manual", Niobrara Research and Development Corporation, June 12, 1995. "MEB Installation and Programming Manual", Niobrara Research and Development Corporation, November 27, 1995. DATE CONSIDERED 11/10/04 "EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609 Oraw line though citation if not					.0. Power Measurement Ltd.	. September 21, 1998,	
"Modicon Ethernet to Modbus Plus Gateway User's Guide", GM-EMBP-001 Rev. A, MODICON, Inc., December 1991. "EPE5 Preliminary Installation and Programming Manual", Niobrara Research and Development Corporation, June 9, 1994. "EPE5 Installation and Programming Manual", Niobrara Research and Development Corporation, July 8, 1994. "EPE5 Installation and Programming Manual", Niobrara Research and Development Corporation, March 23, 1994. "EPE5 Installation and Programming Manual", Niobrara Research and Development Corporation, June 12, 1995. "MEB Installation and Programming Manual", Niobrara Research and Development Corporation, November 27, 1995. DATE CONSIDERED							
"EPE5 Installation and Programming Manual", Niobrara Research and Development Corporation, July 8, 1994. "EPE5 Ethernet Port Expander", Niobrara Research and Development Corporation, March 23, 1994. "EPE5 Installation and Programming Manual", Niobrara Research and Development Corporation, June 12, 1995. "MEB Installation and Programming Manual", Niobrara Research and Development Corporation, November 27, 1995. EXAMINER: Initial if cliation considered, whether or not cliation is in conformance with MPEP 609; Oraw tine through cliation if not				"Modicon Ethernet to Modbus Plus Gateway User's Guide", GM-EMBP-001 Rev. A. MODICON, Inc.			
"EPE5 Installation and Programming Manual", Niobrara Research and Development Corporation, July 8, 1994. "EPE5 Ethernet Port Expander", Niobrara Research and Development Corporation, March 23, 1994. "EPE5 Installation and Programming Manual", Niobrara Research and Development Corporation, June 12, 1995. "MEB Installation and Programming Manual", Niobrara Research and Development Corporation, November 27, 1995. EXAMINER: Initial if Citation considered, whether or not citation is in conformance with MPEP 609. Oraw line through citation if not				"EPE5 Preliminary Installation and Programming Manual", Niobrara Research and Development			
"EPE5 Ethernet Port Expander", Niobrara Research and Development Corporation, March 23, 1994. "EPE5 Installation and Programming Manual", Niobrara Research and Development Corporation, June 12, 1995. "MEB Installation and Programming Manual", Niobrara Research and Development Corporation, November 27, 1995. EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 699: Draw line through citation if not				"EPE5 Installation and Programming Manual", Niobrara Research and Development Corporation,			
"EPE5 Installation and Programming Manual", Niobrara Research and Development Corporation, June 12, 1995. "MEB Installation and Programming Manual", Niobrara Research and Development Corporation, November 27, 1995. EXAMINER DATE CONSIDERED 11/10/04 "EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609: Oraw line through citation if not	T						
"MEB Installation and Programming Manual", Niobrara Research and Development Corporation, November 27, 1995. EXAMINER DATE CONSIDERED 11/10/04 "EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609: Oraw line through citation if not				"EPE5 Installation and Programming Manual", Niobrara Research and Development Corporation.			
EXAMINER DATE CONSIDERED 11/10/04 EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609: Oraw line through citation if not	TP			"MEB Installation and Programming Manual", Niobrara Research and Development Corporation.			
*EXAMINER: Initial if cliation considered, whether or not cliation is in conformance with MPEP 609: Oraw line through citation if not		 			·	· · ·	
*EXAMINER: Initial if cliation considered, whether or not cliation is in conformance with MPEP 609: Oraw line through citation if not							
*EXAMINER: Initial if cliation considered, whether or not cliation is in conformance with MPEP 609: Oraw line through citation if not							
*EXAMINER: Initial if cliation considered, whether or not citation is in conformance with MPEP 609: Oraw line through citation if not							
*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Oraw line through citation if not	DATE CONSIDERED 11/10/04						
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Oraw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

^{*} Use Publication date indicated on the patent or published application. 37 CFR 1.98(b).

** *The place or publication refers to the name of the journal, magazine or other publication in which the article was published....* Notice of January 9, 11992, 1135 O.G. 13 to 25, at 20.

(Form PTO-1449 (6-4))

\Ž					
Form PTO-	TRADEMARY.	U.S. DEPARTMENT OF COMMERCE	ATTY, DOCKET NO.	SERIAL NO.	
Form PTO- U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE Information Disclosure		41PR-7349 (96-1165A)	09/431,737		
Statement by Applicant			APPLICANT	RECEIVEL	
(Use several sheets if necessary)		WHITEHEAD et al. JUL 2, 3, 2003			
(37 ctr 1.98(b))	(37 cfr 1.98(b))		FILING DATE	GROUP	
			November 1, 1999	2121Technology Center 21	
		DOCUMENTS (Including Author			
	LETTER d	ated December 13, 2001 from (Gustavo Siller to Charles O'B	rien, with Attachment A.	
	FACSIMIL	E dated August 4, 1984 from B	radford Forth to Elise Woolfort.		
	FACSIMIL	E dated August 18, 1994 from t	Bradford Forth to John Bliven and Elise Woolfort.		
	FACSIMIL	E dated October 7, 1994 from E	Bradford Forth to Elise Woolfe	ort	
	FACSIMIL	E dated October 28, 1994 from	Bradford Forth to Joseph Kri	sciunas.	
	FACSIMIL	E dated November 3, 1994 from	n Bradførd Forth to Elise Woo	olfort and Joseph Krisciunas.	
FACSIMILE dated February 2, 1995 from Bradford Forth to Bo Andersen. LETTER dated February 24, 1995 from Bradford Forth to Mr. Whitehead. SALES ORDER NUMBER 6501 dated July 24, 2001.			en.		
			nd.		
	FACSIMILE dated March 24, 1995 from Bradford Forth to Don Whitehead FACSIMILE dated April 12, 1995 from Bradford Forth to Don Whitehead			ead.	
				d.	
	MINUTES	of Technical Meeting with GE of	ated May 15, 1995.		
	FACSIMIL	Edated June 2, 1995 from Brad	dford Forth to Joseph Kriselunas, with Draft Attachment.		
	MEMO dated June 22, 1995 from Jeff Mammen to Bradford Forth.				
	FACSIMIL	E/LETTER dated September 15	5, 1995 from Veronica Kertesz to Piotr Przydatek. om Bradford Forth to Veronica Curtez.		
	FACSIMIL	Edated September 19, 1995 fro			
FACSIMILE date October 20, 1995 from Bradford Forth to Jack Brady.			7,		
MINUTES of Meeting with Bo Anderson, G.E., dated February 1, 1996.					
	SALES ORØER NUMBER 6234 dated August 14, 2001.				
	SALES ORDER NUMBER 9883 dated August 14, 2001. SALES ORDER NUMBER 9979 dated August 14, 2001.				
	SALES ORDER NUMBER 10331 deted August 14, 2001.				
SALES ORDER NUMBER 8580 dated August 14, 2001.					
	V /				
EXAMINER	Think	enn	DATE CONSIDERED 11/10/	oy	
*EXAMINER: Initi	al if citation considered	whether or not citation is in conformance	e with MPEP 609; Draw line through c	itation if not	

^{*} Use Publication date indicated on the patent or published application. 37 CFR 1.98(b).

** "The place or publication refers to the name of the journal, magazine or other publication in which the article was published...." Notice of January 9, 1992, 1135 O.G. 13 to 25, at 20.

This Page is Inserted by IFW Indexing and Scanning Operations and is not part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:
☐ BLACK BORDERS
☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
☐ FADED TEXT OR DRAWING
☐ BLURRED OR ILLEGIBLE TEXT OR DRAWING
☐ SKEWED/SLANTED IMAGES
☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS
GRAY SCALE DOCUMENTS
LINES OR MARKS ON ORIGINAL DOCUMENT
REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY

IMAGES ARE BEST AVAILABLE COPY.

OTHER:

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.